PTO/SB/21 (08-03)

						
TRANSMITTAL			Applica	ation Number	10/602,4	79
IRAN	ADIVITIAL		Filing (Date	June 23,	2003
FORM			First N	amed Inventor	Philippe,	Yan
(to be used for all co	(to be used for all correspondence after initial filing)			t	3623	
			Exami	ner Name	Susanna	M. Meinecke Diaz
Total Number of Page Submission	es in This	8	Attorne	ey Docket Number	017907-0	001511US
		ENC	LOSURE	S (Check all that appl	'v)	
Fee Transmittal I	om	☐ Drawin				Allowance Communication to Group
Fee Attache	ed	Licensi	ng-relate	d Papers		al Communication to Board of Appeals
☐ Amendment/Rep	ly	Petition	1			al Communication to Group (Appeal e, Brief, Reply Brief)
After Final	•		n to Convo		Propr	ietary Information
Affidavits/de	eclaration(s)			ey, Revocation espondence Address	☐ Statu	s Letter
Extension of Time Request			Terminal Disclaimer			r Enclosure(s) e identify below):
Express Abando	nment Request	Reque	Request for Refund		Return Postcard,	
	one requeet	CD, No), Number of CD(s)		PTO/SB/08A, 08B	
Information Discl	osure Statement		1			
Certified Copy of Document(s)	Priority	Rema	rks	The Commissioner is Account 20-1430.	authorized to	o charge any additional fees to Deposit
Response to Mis						
	o Missing Parts FR 1.52 or 1.53					
	SIG	NATURE O	F APPL	ICANT, ATTORNEY,	OR AGEN	T
Firm	Townsend and T					
or Individual	Steven Cahill			Reg. N	o. 44,578	
Signature	Steve	z Cee	Pull)		
Date March <u>4</u> , 2004						
		CERTIFIC	ATE OF	TRANSMISSION/M	AILING	
I hereby certify that this co as first class mail in an en	rrespondence is being velope addressed to: C	facsimile trans	mitted to th	ne USPTO or deposited wit	h the United S	tates Postal Service with sufficient postage 450 on the date shown below.
Typed or printed name	e Edward Mas	sinas		·		
Signature	I I do	ass	Ma	Amins	Date	March <u>//</u> , 2004

FEE TRANSMITTAL	Complete if Known		
	Application Number	10/602,479	
for FY 2004	Filing Date	June 23, 2003	
Effective 10/01/2003. Patent fees are subject to annual revision.	First Named Inventor	Philippe, Yan	
Applicant claims small entity status. See 37 CFR 1.27	Examiner Name	Susanna M. Meinecke Diaz	
	Art Unit	3623	
TOTAL AMOUNT OF PAYMENT (\$) 180	Attorney Docket No.	017907-001511US	

	METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)			
Check	Credit Card Money Order Other None	3. ADD	ITIONAL F	EES				
Deposit Acco	ount: .	Large	Entity	Small	Entity	_	!	
Deposit Account	20-1430	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Number		1051	130	2051	65	Surcharge - late filing fee or oath		
Deposit		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.		
Account	Townsend and Townsend and Crew LLP	1053	130	1053	130	Non-English specification		
Name The Director is a	- 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1	1812	2,520	1812	2,520	For filing a request for reexamination		
K-71	authorized to: (check all that apply) s) indicated below	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
= ' '	additional fee(s) or any underpayment of fee(s)	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
	s) indicated below, except for the filing fee titled deposit account.	1251	110	2251	55	Extension for reply within first month		
	FEE CALCULATION	1252	420	2252	210	Extension for reply within second month		
4 BASIC EII		1	· _ ,	1				
1. BASIC FIL		1253	950	2253	475	Extension for reply within third month	L !	
	Small Entity Fee Description Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month	1 1	
	Fee Fee Fee Description Fee Paid Code (\$)	1255	2,010	2255	1,005	Extension for reply within fifth month		
,	2001 385 Utility filing fee	1401	330	2401	1,005	Notice of Appeal	├ ──┤ !	
	2002 170 Design filing fee	1401	330	2402	165	Filing a brief in support of an appeal		
1003 530 2	2003 265 Plant filing fee	1402	290	2402	145	Request for oral hearing	├ ─┤ !	
1004 770 2	2004 385 Reissue filing fee					Petition to institute a public use	├ ─┤ !	
1005 160 2	2005 80 Provisional filing fee	1451	1,510	1451	1,510	proceeding		
I	SUBTOTAL (1) (\$)	1452	110	2452	55 665	Petition to revive – unavoidable	<u> </u>	
	THE TOTAL TOTAL TOTAL PRINCIPE	1453	1,330	2453	665	Petition to revive – unintentional		
2. EXTRA CL	AIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)		
I	Fee from	1502	480	2502	240	Design issue fee	L	
	Extra Claims below Fee Paid	1503	640	2503	320	Plant issue fee	L !	
Total Claims		1460	130	1460	130	Petitions to the Commissioner	<u></u>	
Independent Claims		1807	50	1807	50	Petitions related to provisional applications .		
Multiple		1806	180	1806	180	Submission of Information Disclosure Stmt	180	
Dependent Large Entity	Small Entity	8021	40	8021	40	Recording each patent assignment per property (times number of properties)		
Fee Fee Code (\$)	Fee Fee <u>Fee Description</u>	1809	770 770	2809	385	Filing a submission after final rejection		
1202 18	2202 9 Claims in excess of 20	1		2000	205	(37 CFR § 1.129(a))	<u> </u>	
1201 86	2201 43 Independent claims in excess of 3	1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))		
1203 290 1204 86	2203 145 Multiple dependent claim, if not paid ** Reissue independent claims	1801	770	2801	385	Request for Continued Examination		
	over original patent ** Reissue claims in excess of 20	1802	900	1802	900	(RCE) Request for expedited examination	 	
1205 18	and over original patent		(====16.)	1		of a design application		
	SUBTOTAL (2) (\$)	Other lea	e (specify)	,		,		
**or number previo	iously paid, if greater; For Reissues, see above	*Reduce	ed by Basir	s Filing f	Fee Paid	SUBTOTAL (3) (\$)180		
	· · · · · · · · · · · · · · · · · · ·	4						

SUBMITTED BY				C	omplete (if applicable)	
Name (Print/Type)	Steven Cahill	Registration No. (Attorney/Agent)	44,578	Telephone	650-326-2400	
Signature	Steve	Tahill		Date	March 1 , 2004	

I hereby certify that this correspondence is being deposited with the United

States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

MAR 1 5 2004

Attorney Docket No.: 017907-001511US Client Reference No.: REG00071 1999

TOWNSEND and TOWNSEND and CREW LLP

Edward Masinas

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Yan Philippe et al.

Application No.: 10/602,479

Filed: June 23, 2003

For: METHOD AND SYSTEM FOR INTEGRATING TRANSACTION MECHANISMS OVER MULTIPLE

INTERNET SITES

Examiner: Susanna M. Meinecke Diaz

Art Unit: 3623

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 09/066,739, filed March 20, 1998 (Attorney Docket No. 015114-001510US).

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Yan Philippe et al.

Application No.: 10/602,479

Page 2

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance.

Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Steven Cahill Reg. No. 44,578

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834 Tel: 650-326-2400

Tel: 650-326-2400 Fax: 650-326-2422

SJC:jey

60159467 v1



Substitute for form 1449A/PTO Complete if Known **Application Number** 10/602,479 INFORMATION DISCLOSURE June 23, 2003 Filing Date STATEMENT BY APPLICANT First Named Inventor Philippe, Yan Art Unit 3623 (use as many sheets as necessary) **Examiner Name** Susanna M. Meinecke Diaz Sheet **Attorney Docket Number** 017907-001511US

			U.S. PATENT DO	CUMENTS+	
Examiner Initials*	Cite No.1	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
•	0001	US-5,293,429	03-08-1994	Pizano et al.	
	0002	US-5,297,039	03-22-1994	Kanaegami et al.	
	0003	US-5,694,551	12-02-1997	Doyle et al.	
	0004	US-5,708,780	01-13-1998	Levergood et al.	
	0005	US-5,710,887	01-20-1998	Chelliah et al.	
	0006	US-5,715,314	02-03-1998	Payne et al.	
	0007	US-5,724,424	03-03-1998	Gifford	
	8000	US-5,732,398	03-24-1998	Tagawa	
	0009	US-5,745,681	04-28-1998	Levine et al.	
	0010	US-5,758,328	05-26-1998	Giovannoli	
	0011	US-5,793,888	08-11-1998	Delanoy	
	0012	US-5,794,207	08-11-1998	· Walker et al.	
	0013	US-5,794,259	08-11-1998	Kikinis	
	0014	US-5,809,483	09-15-1998	Broka et al.	
	0015	US-5,832,459	11-03-1998	Cameron et al.	
	0016	US-5,845,263	12-01-1998	Camaisa et al.	
	0017	US-5,850,446	12-15-1998	Berger et al.	
	0018	US-5,870,717	02-09-1999	Wiecha	
	0019	US-5,889,863	03-30-1999	Weber	
	0020	US-5,890,139	03-30-1999	Suzuki et al.	
	0021	US-5,897,622	04-27-1999	Blinn et al.	
	0022	US-5,960,411	09-28-1999	Hartman et al.	
	0023	US-6,029,141	02-22-2000	Bezos et al.	
	0024	US-6,088,700	07-11-2000	Larsen et al.	
	0025	US-6,102,969	08-15-2000	Christianson et al.	
	0026	US-6,199,079	03-06-2001	Gupta et al.	

	FOREIGN PATENT DOCUMENTS								
Examiner	Cita	Foreign		ument		Name of Patentee or	Pages, Columns, Lines,		
Initials*	Cite No. ¹	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T ⁶	
	0027	EP	0 807 891		11-1997				
	0028	GB	2 315 351		01-1998				
	0029	wo	98/21679		05-1998				

Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional).

Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04.

Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

Applicant is to place a check mark here if English language Translation is attached.

60159467 v1

PTO/SB/08B (08-03)

MAR 1 5 2004

Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Sheet	2	of	2

Complete if Known					
Application Number	10/602,479				
Filing Date	June 23, 2003				
First Named Inventor	Philippe, Yan				
Art Unit	3623				
Examiner Name	Susanna M. Meinecke Diaz				
Attomey Docket Number	017907-001511US				

	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item						
Examiner Initials *	No.1	(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2				
	0030	"Ingram Micro Adds Powerful Electronic Commerce Tools To Its Web Site," Business Wire, p. 05050287, May 5, 1997.					
	0031	"The Promise of Internet Megastores," EIU ViewsWire, Nov. 3, 1997.					
	0032	Anonymous, "The Once and Future Mall," Economist, vol. 345, No. 8041, pp. 64-68, Nov. 1, 1997.					
	0033	Frook, John Evan, "A Wholesale Extranet—A Sweeping Web-Based Project is Helping Computer Distributor Ingram Micro Redefine Its Role in the Supply Chain," Communications Week, pp. 1 +, Jun. 9, 1997.					
	0034	Giacoppo, Laura, Product Release for CheckOut! posted on the Internet <url: .times.30.deja.com="" http:="">, Jul. 1997.</url:>					
	0035	Karpinski, Richard, "Excite Scoops Up NetBot Shopping Agent," Today's News, Oct. 16, 1997, Retrieved from [URL: http://www.internetwk.com].					
	0036	Machlis, Sharon, "`Agents` Surf Web for Best Online Buys," ComputerWorld, vol. 31, No. 49, pp. 1, 16, Dec. 8, 1997.					
	0037	Tweney, Dylan, "Shopping Agents Help Consumers Find the Best Deals," InfoWorld, vol. 19, No. 49, p. 98, Dec. 8, 1997.					

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.